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#### **STUDENTS**

# **BULLYING**

The Board of Education recognizes the harmful effects of bullying on student learning and school attendance and desires to provide safe school environments that protect students from physical and emotional harm. District employees shall establish student safety as a high priority and shall not tolerate bullying of any student.

No individual or group shall, through physical, written, verbal, or other means, harass, sexually harass, threaten, intimidate, retaliate, cyberbully, cause bodily injury to, or commit hate violence against any student or school personnel.

Cyberbullying includes the creation or transmission of harassing communications, direct threats, or other harmful texts, sounds, or images on the Internet, social media, or other technologies using a telephone, computer, or any wireless communication device. Cyberbullying also includes breaking into another person's electronic account and assuming that person's identity in order to damage that person's reputation.

Strategies for addressing bullying in District schools shall be developed with involvement of key stakeholders, including students, parents/guardians, and staff, and may be incorporated into the comprehensive safety plan, the local control and accountability plan, and other applicable District and school plans.

As appropriate, the Superintendent or designee may collaborate with law enforcement, courts, social services, mental health services, other agencies, and community organizations in the development and implementation of joint strategies to promote safety in schools and the community and to provide services for alleged victims and perpetrators of bullying.

# **Bullying Prevention**

To the extent possible, District schools shall focus on the prevention of bullying by establishing clear rules for student conduct and implementing strategies to promote a positive, collaborative school climate. Students shall be informed, through student handbooks and other appropriate means, of District and school rules related to bullying, mechanisms available for reporting incidents or threats, and the consequences for perpetrators of bullying.

As appropriate, the District shall provide students with instruction, in the classroom or other educational settings, that promotes social-emotional learning, effective communication and conflict resolution skills, character/values education, respect for cultural and individual differences, self-esteem development, assertiveness skills, and appropriate online behavior.

Such instruction shall also educate students about the negative impact of bullying, discrimination, intimidation, and harassment based on actual or perceived immigration status, religious beliefs and customs, or any other individual bias or prejudice.

The Superintendent/designee shall provide training to teachers and other school staff to raise their

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awareness about the legal obligation of the District and its employees to prevent discrimination, harassment, intimidation, and bullying of district students. Such training shall be designed to provide staff with the skills to:

- 1. Discuss the diversity of the student body and school community, including their varying immigration experiences
- 2. Discuss bullying prevention strategies with students, and teach students to recognize the behavior and characteristics of bullying perpetrators and victims
- 3. Identify the signs of bullying or harassing behavior
- 4. Take immediate corrective action when bullying is observed
- 5. Report incidents to the appropriate authorities, including law enforcement in instances of criminal behavior

Based on an assessment of bullying incidents at school, the Superintendent/designee may increase supervision and security in areas where bullying most often occurs, such as classrooms, playgrounds, hallways, restrooms, and cafeterias.

#### Intervention

Students are encouraged to notify school staff when they are being bullied or suspect that another student is being victimized. In addition, the Superintendent/designee shall develop means for students to report threats or incidents confidentially and anonymously.

School staff who witness an act of bullying shall immediately intervene to stop the incident when it is safe to do so. (Education Code 234.1)

As appropriate based on the severity or pervasiveness of the bullying, the Superintendent/designee shall notify the parents/guardians of victims and perpetrators and may contact law enforcement.

The Superintendent, principal, or principal's designee may refer a victim, witness, perpetrator, or other student affected by an act of bullying to a school counselor, school psychologist, social worker, child welfare attendance personnel, school nurse, or other school support service personnel for case management, counseling, and/or participation in a restorative justice program as appropriate. (Education Code 48900.9)

# **Reporting and Filing of Complaints**

Any student, parent/guardian, or other individual who believes that a student has been subjected to bullying or who has witnessed bullying may report the incident to a teacher, the principal, a compliance officer, or any other available school employee. Within one business day of receiving such a report, a staff member shall notify the principal of the report, whether or not a uniform complaint is filed. In addition, any school employee who observes an incident of bullying involving a student shall, within one business day, report his/her observation to the principal or a

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District compliance officer, whether or not the alleged victim files a complaint.

Within two business days of receiving a report of bullying, the principal shall notify the District compliance officer identified in AR 1312.3 - Uniform Complaint Procedures.

When the circumstances involve cyberbullying, individuals with information about the activity shall be encouraged to save and print any electronic or digital messages that they feel constitute cyberbullying and to notify a teacher, the principal, or other employee so that the matter may be investigated. When a student is using a social networking site or service to bully or harass another student, the Superintendent/designee also may file a complaint with the Internet site or service to suspend the privileges of the student and to have the material removed.

When a report of bullying is submitted, the principal or a District compliance officer shall inform the student or parent/guardian of the right to file a formal written complaint in accordance with AR 1312.3. The student who is the alleged victim of the bullying shall be given an opportunity to describe the incident, identify witnesses who may have relevant information, and provide other evidence of bullying.

# **Investigation and Resolution of Complaints**

Any complaint of bullying shall be investigated and, if determined to be discriminatory, resolved in accordance with law and the District's uniform complaint procedures specified in AR 1312.3.

If, during the investigation, it is determined that a complaint is about nondiscriminatory bullying, the principal or designee shall inform the complainant and shall take all necessary actions to resolve the complaint.

If the Superintendent or designee believes it is in the best interest of a student who has been the victim of an act of bullying, as defined in Education Code 48900, the Superintendent or designee shall advise the student's parents/guardians that the student may transfer to another school. If the parents/guardians of a student who has been the victim of an act of bullying requests a transfer for the student pursuant to Education Code 46600, the Superintendent or designee shall allow the transfer in accordance with law and District policy on Intra-district or Inter-district transfer, as applicable.

# **Discipline**

Corrective actions for a student who commits an act of bullying of any type may include counseling, behavioral intervention and education, and, if the behavior is severe or pervasive as defined in Education Code 48900, may include suspension or expulsion in accordance with District policies and regulations.

Any employee who permits or engages in bullying or retaliation related to bullying shall be subject to disciplinary action, up to and including dismissal.

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# **Legal Reference:**

# **EDUCATION CODE**

200-262.4 Prohibition of discrimination

32282 Comprehensive safety plan

32283.5 Bullying; online training

35181 Governing board policy on responsibilities of students

35291-35291.5 Rules

46600 Student Transfers

48900-48925 Suspension or expulsion

48985 Translation of notices

52060-52077 Local control and accountability plan

# PENAL CODE

422.55 Definition of hate crime

647 Use of camera or other instrument to invade person's privacy; misdemeanor

647.7 Use of camera or other instrument to invade person's privacy; punishment

653.2 Electronic communication devices, threats to safety

# CODE OF REGULATIONS, TITLE 5

4600-4687 Uniform complaint procedures

#### UNITED STATES CODE, TITLE 47

254 Universal service discounts (e-rate)

# **CODE OF FEDERAL REGULATIONS, TITLE 28**

35.107 Nondiscrimination on basis of disability; complaints

# CODE OF FEDERAL REGULATIONS, TITLE 34

104.7 Designation of responsible employee for Section 504

106.8 Designation of responsible employee for Title IX

110.25 Notification of nondiscrimination on the basis of age

<u>Date</u>

Adopted: 7/08/2002

Revised (renumbered) & Adopted: 8/27/2012

Revised and Adopted: 8/24/2015 Revised and Adopted: 8/20/2018 Revised and Adopted: 1/21/2020

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# **UNIFORM COMPLAINT PROCEDURES**

Except as the Board of Education may otherwise specifically provide in other District policies, these uniform complaint procedures (UCP) shall be used to investigate and resolve only the complaints specified in the accompanying Board policy.

# **Compliance Officers**

The District designates the individual(s), position(s), or unit(s) identified below as responsible for receiving, coordinating, and investigating complaints and for complying with state and federal civil rights laws. The individual(s), position(s), or unit(s) also serve as the compliance officer(s) specified in AR 5145.3 - Nondiscrimination/Harassment responsible for handling complaints regarding unlawful discrimination, harassment, intimidation, or bullying. And in AR 5145.7 - Sexual Harassment for handling complaints regarding sexual harassment.

# **District Lead Compliance Officer:**

Stephanie Yang Director, Educational Services Tustin Unified School District 300 South C Street Tustin, CA 92780 714-730-7301 Ext. 323 syang@tustin.k12.ca.us

# **School Compliance Officers - Elementary Schools:**

Katy Sheyka, Principal Arroyo Elementary School 11112 Coronel Rd. Santa Ana, CA 92705 (714)730-7381 ksheyka@tustin.k12.ca.us

Jaclyn Spangler, Principal Benson Elementary School 12712 Elizabeth Way Tustin, CA 92780 (714)730-7531 jspangler@tustin.k12.ca.us Dustin O'Malley, Principal Ladera Elementary School 2515 Rawlings Way Tustin, CA 92782 (714)730-7505 domalley@tustin.k12.ca.us

Lauren Steinmann, Principal Loma Vista Elementary School 13822 Prospect Ave. Santa Ana, CA 92705 (714) 730-7528 lsteinmann@tustin.k12.ca.us

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Ashly McNamara, Principal Beswick Elementary School 1362 Mitchell Ave. Tustin, CA 92780 (714)730-7385 amcnamara@tustin.k12.ca.us

Amanda Heineman, Principal Estock Elementary School 14741 North B Street Tustin, CA 92780 (714)730-7390 aheineman@tustin.k12.ca.us

Ray Hernandez, Principal Guin Foss Elementary School 18492 Vanderlip Ave. Santa Ana, CA 92705 (714)730-7552 rhernandez@tustin.k12.ca.us

Deanna Parks, Principal Heideman Elementary School 15571 Williams St. Tustin, CA 92780 (714)730-7521 dparks@tustin.k12.ca.us

Courtney Smith, Principal Heritage Elementary School 15400 Lansdowne Rd. Tustin, CA 92782 (714)430-2066 csmith@tustin.k12.ca.us

Deena Vela, Principal Hicks Canyon Elementary School 3817 Viewpark Ave. Irvine, CA 92602 (714)734-1878 dvela@tustin.k12.ca.us Rena Fairchild, Principal Myford Elementary School 3181 Trevino Dr. Irvine, CA 92602 (714) 734-1875 rfairchild@tustin.k12.ca.us

Shannon James-Olsen, Principal Nelson Elementary School 14392 Browning Ave. Tustin, CA 92780 (714) 730-7536 sjamesolsen@tustin.k12.ca.us

Kristy Andre, Principal Peters Canyon Elementary School 26900 Peters Canyon Rd. Tustin, CA 92782 (714)730-7540 kandre@tustin.k12.ca.us

Teri Malpass, Principal Red Hill Elementary School 11911 Red Hill Ave. Santa Ana, CA 92705 (714)730-7543 tmalpass@tustin.k12.ca.us

Brooke Carreras, Principal Tustin Memorial Academy 12712 Browning Ave. Santa Ana, CA 92705 (714)730-7546 bcarreras@tustin.k12.ca.us

Kathi Denny, Principal Tustin Ranch Elementary School 12950 Robinson Dr. Tustin, CA 92782 (714)730-7580 kdenny@tustin.k12.ca.us

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## **School Compliance Officers - Middle Schools and K-8 Schools:**

Maggie Burdette, Principal Columbus Tustin Middle School 17952 Beneta Way Tustin, CA 92780

(714)730-7352

mburdette@tustin.k12.ca.us

Sahra Tanikawa, Principal Hewes Middle School 13232 Hewes Avenue Santa Ana, CA 92705 (714) 730-7348

stanikawa@tustin.k12.ca.us

Eric Kilian, Principal Orchard Hills School 11555 Culver Drive Irvine, CA 92602 (714) 430-2078

ekilian@tustin.k12.ca.us

Brett D'Errico, Principal Pioneer Middle School 2700 Pioneer Road **Tustin, CA 92782** (714) 730-7534

bderrico@tustin.k12.ca.us

Estela Salas-Sarmiento, Principal Sycamore Magnet Academy 1402 Sycamore Avenue **Tustin, CA 92780** (714) 730-7360

esalassarmiento@tustin.k12.ca.us

Kristin Hartloff, Principal Utt Middle School 13601 Browning Ave. Tustin, CA 92780 (714) 730-7573

hbojorquez@tustin.k12.ca.us

# School Compliance Officers - High Schools and 6-12 Schools

Donnie Rafter, Principal Beckman High School 3588 Bryan Ave. Irvine, CA 92602 (714) 734-2900

drafter@tustin.k12.ca.us

Michelle England, Principal Foothill High School 19251 Dodge Ave. Santa Ana, CA 92705 (714) 730-7464 mengland@tustin.k12.ca.us

Jennifer Harrison, Principal Legacy Magnet Academy 15500 Legacy Road **Tustin, CA 92782** (714) 430-2088 jharrison@tustin.k12.ca.us

Tim O'Donoghue, Principal Hillview High School 1701 San Juan Street Tustin, CA 92780 (714) 730-7356 todonoghue@tustin.k12.ca.us

Jon Tuin, Principal **Tustin High School** 1171 El Camino Real **Tustin, CA 92780** (714) 730-7414 jtuin@tustin.k12.ca.us

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# School Compliance Officers - Alternative Schools, Adult School, School Readiness:

Erick Fineberg, Principal Tustin Connect K- 8 14741 North B Street Tustin, CA 92780 (714) 430-2052 efineberg@tustin.k12.ca.us

Will Neddersen, Coordinator Adult Education 1701 San Juan Street Tustin, CA 92780 (714) 730-7395 wneddersen@tustin.k12.ca.us Erick Fineberg, Principal Tustin Connect High School 1151 San Juan Street Tustin, CA 92780 (714) 430-2052 efineberg@tustin.k12.ca.us

Lauralee Cabibi, Principal School Readiness Programs 1151 San Juan Street Tustin, CA 92780 (714) 730-7592 lcabibi@tustin.k12.ca.us

The compliance officer who receives a complaint may assign another compliance officer to investigate and resolve the complaint. The compliance officer shall promptly notify the complainant and respondent if another compliance officer is assigned to the complaint.

In no instance shall a compliance officer be assigned to a complaint in which the compliance officer has a bias or conflict of interest that would prohibit the fair investigation or resolution of the complaint. Any complaint against a compliance officer or that raises a concern about the compliance officer's ability to investigate the complaint fairly and without bias shall be filed with the Superintendent or designee who shall determine how the complaint will be investigated.

The Superintendent or designee shall ensure that employees assigned to investigate and resolve complaints receive training and are knowledgeable about the laws and programs at issue in the complaints to which they are assigned. Training provided to such employees shall cover current state and federal laws and regulations governing the program, applicable processes for investigating and resolving complaints, including those alleging unlawful discrimination, harassment, intimidation, or bullying, applicable standards for reaching decisions on complaints, and appropriate corrective measures. Assigned employees may have access to legal counsel as determined by the Superintendent or designee.

The compliance officer or, if necessary, any appropriate administrator shall determine whether interim measures are necessary during an investigation and while the result is pending. If interim measures are determined to be necessary, the compliance officer or the administrator shall consult with the Superintendent, the Superintendent's designee, or, if appropriate, the site principal to implement one or more interim measures. The interim measures shall remain in place until the compliance officer determines that they are no longer necessary or until the District issues its final written decision, whichever occurs first.

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#### **Notifications**

The District's UCP policy and administrative regulation shall be posted in all District schools and offices, including staff lounges and student government meeting rooms. (Education Code 234.1)

In addition, the Superintendent or designee shall annually provide written notification of the District's UCP to students, employees, parents/guardians of District students, District advisory committee members, school advisory committee members, appropriate private school officials or representatives, and other interested parties. (5 CCR 4622)

#### The notice shall include:

- 1. A statement that the District is primarily responsible for compliance with federal and state laws and regulations, including those related to prohibition of unlawful discrimination, harassment, intimidation, or bullying against any protected group and a list of all programs and activities that are subject to UCP as identified in the section "Complaints Subject to UCP" in the accompanying Board policy.
- 2. The title of the position responsible for processing complaints, the identity of the person(s) currently occupying that position if known, and a statement that such persons will be knowledgeable about the laws and programs they are assigned to investigate.
- 3. A statement that a UCP complaint, except a complaint alleging unlawful discrimination, harassment, intimidation, or bullying must be filed no later than one year from the date the alleged violation occurred.
- 4. A statement that a UCP complaint alleging unlawful discrimination, harassment, intimidation, or bullying must be filed no later than six months from the date of the alleged conduct or the date the complainant first obtained knowledge of the facts of the alleged conduct.
- 5. A statement that a student enrolled in a public school shall not be required to pay a fee for participation in an educational activity that constitutes an integral fundamental part of the District's educational program, including curricular and extracurricular activities.
- 6. A statement that a complaint regarding student fees or the local control and accountability plan (LCAP) may be filed anonymously if the complainant provides evidence or information leading to evidence to support the complaint.
- 7. A statement that the District will post a standardized notice of the educational and graduation requirements of foster youth, homeless students, children of military families, and former juvenile court school students now enrolled in the District, as specified in Education Code 48645.7, 48853, 48853.5, 49069.5, and 51225.2, and the

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complaint process.

- 8. A statement that complaints will be investigated in accordance with the District's UCP and a written decision will be sent to the complainant within 60 days from the receipt of the complaint, unless this time period is extended by written agreement of the complainant.
- 9. A statement that, for programs within the scope of the UCP as specified in the accompanying Board policy, the complainant has a right to appeal the District's investigation report to the California Department of Education (CDE) by filing a written appeal, including a copy of the original complaint and the District's decision, within 30 calendar days of receiving the District's decision.
- 10. A statement advising the complainant of any civil law remedies, including, but not limited to, injunctions, restraining orders, or other remedies or orders that may be available under state or federal laws prohibiting discrimination, harassment, intimidation, or bullying, if applicable.
- 11. A statement that copies of the District's UCP are available free of charge

The annual notification, complete contact information of the compliance officer(s), and information related to Title IX as required pursuant to Education Code 221.61 shall be posted on the District website and may be provided through District-supported social media, if available.

The Superintendent or designee shall ensure that all students and parents/guardians, including students and parents/guardians with limited English proficiency, have access to the relevant information provided in the District's policy, regulation, forms, and notices concerning the UCP.

If 15 percent or more of students enrolled in a particular District school speak a single primary language other than English, the District's UCP policy, regulation, forms, and notices shall be translated into that language, in accordance with Education Code 234.1 and 48985. In all other instances, the District shall ensure meaningful access to all relevant UCP information for parents/guardians with limited English proficiency.

# **Filing of Complaints**

The complaint shall be presented to the compliance officer who shall maintain a log of complaints received, providing each with a code number and a date stamp. If a site administrator not designated as a compliance officer receives a complaint, the site administrator shall notify the compliance officer.

All complaints shall be filed in writing and signed by the complainant. If a complainant is unable to put a complaint in writing due to conditions such as a disability or illiteracy, District staff shall assist in the filing of the complaint. (5 CCR 4600)

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Complaints shall also be filed in accordance with the following rules, as applicable:

- 1. A complaint alleging District violation of applicable state or federal law or regulations governing the programs specified in the accompanying Board may be filed by any individual, public agency, or organization. (5 CCR 4630)
- 2. Any complaint alleging noncompliance with law regarding the prohibition against student fees, deposits, and charges or any requirement related to the LCAP may be filed anonymously if the complaint provides evidence, or information leading to evidence, to support an allegation of noncompliance. A complaint about a violation of the prohibition against the charging of unlawful student fees may be filed with the principal of the school or with the Superintendent or designee.
- 3. A UCP complaint, except for a UCP complaint alleging unlawful discrimination, harassment, intimidation, or bullying, shall be filed no later than one year from the date the alleged violation occurred. For complaints related to the LCAP, the date of the alleged violation is the date when the County Superintendent of Schools approves the LCAP that was adopted by the Board. (5 CCR 4630)
- 4. A complaint alleging unlawful discrimination, harassment, intimidation, or bullying) may be filed only by a persons who alleges having personally suffered unlawful discrimination, person who believes that any specific class of individuals has been subjected to unlawful discrimination, or a duly authorized representative who alleges that an individual student has been subjected to discrimination, harassment, intimidation, or bullying. (5 4 CCR 4630).
- 5. A complaint alleging unlawful discrimination, harassment, intimidation, or bullying shall be initiated no later than six months from the date that the alleged unlawful discrimination occurred, or six months from the date that the complainant first obtained knowledge of the facts of the alleged unlawful discrimination. The time for filing may be extended for up to 90 days by the Superintendent or designee for good cause upon written request by the complainant setting forth the reasons for the extension. (5 CCR 4630)
- 6. When a complaint alleging unlawful discrimination, harassment, intimidation, or bullying is filed anonymously, the compliance officer shall pursue an investigation or other response as appropriate, depending on the specificity and reliability of the information provided and the seriousness of the allegation.
- 7. When the complainant of unlawful discrimination harassment, intimidation, or bullying or the alleged victim, when not the complainant, requests confidentiality, the compliance officer shall inform the complainant or victim that the request may limit the District's ability to investigate the conduct or take other necessary action. When

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honoring a request for confidentiality, the District shall nevertheless take all reasonable steps to investigate and resolve/respond to the complaint consistent with the request.

#### Mediation

Within three business days after receiving the complaint, the compliance officer may informally discuss with all the parties the possibility of using mediation to resolve the complaint. Mediation shall be offered to resolve complaints that involve more than one student and no adult. However, mediation shall not be offered or used to resolve any complaint involving an allegation of sexual assault or where there is a reasonable risk that a party to the mediation would feel compelled to participate. If the parties agree to mediation, the compliance officer shall make all arrangements for this process.

Before initiating the mediation of a complaint alleging retaliation or unlawful discrimination, harassment, intimidation, or bullying, the compliance officer shall ensure that all parties agree to permit the mediator access to all relevant confidential information. The compliance officer shall also notify all parties of the right to end the informal process at any time.

If the mediation process does not resolve the problem within the parameters of law, the compliance officer shall proceed with an investigation of the complaint.

The use of mediation shall not extend the District's timelines for investigating and resolving the complaint unless the complainant agrees in writing to such an extension of time. If mediation is successful and the complaint is withdrawn, then the District shall take only the actions agreed upon through the mediation. If mediation is unsuccessful, the District shall then continue with subsequent steps specified in this administrative regulation.

# **Investigation of Complaint**

Within 10 business days after the compliance officer receives the complaint, the compliance officer shall begin an investigation into the complaint.

Within one business day of initiating the investigation, the compliance officer shall provide the complainant and/or the complainant's representative with the opportunity to present the information contained in the complaint to the compliance officer and shall notify the complainant and/or representative of the opportunity to present the compliance officer with any evidence, or information leading to evidence, to support the allegations in the complaint. Such evidence or information may be presented at any time during the investigation.

In conducting the investigation, the compliance officer shall collect all available documents and review all available records, notes, or statements related to the complaint, including any additional evidence or information received from the parties during the course of the investigation. The compliance officer shall individually interview all available witnesses with information pertinent to the complaint and may visit any reasonably accessible location where

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the relevant actions are alleged to have taken place. At appropriate intervals, the compliance officer shall inform both parties of the status of the investigation.

To investigate a complaint alleging retaliation or unlawful discrimination, harassment, intimidation, or bullying, the compliance officer shall interview the alleged victim(s), any alleged offender (s), and other relevant witnesses privately, separately, and in a confidential manner. As necessary, additional staff or legal counsel may conduct or support the investigation.

A complainant's refusal to provide the District's investigator with documents or other evidence related to the allegations in the complaint, failure or refusal to cooperate in the investigation, or in any other obstruction of the investigation may result in the dismissal of the complaint because of a lack of evidence to support the allegation. Refusal by the District's to provide the investigator with access to records and/or information related to the allegations in the complaint, failure or refusal to cooperate in the investigation, or any other obstruction of the investigation may result in a finding, based on evidence collected that a violation has occurred and in the imposition of a remedy in favor of the complainant. (5 CCR 4631)

#### **Timeline for Final Decision**

Unless extended by written agreement with the complainant, the investigation report shall be sent to the complainant within 60 calendar days of the District's receipt of the complaint.

Within 30 calendar days of receiving the complaint, the compliance officer shall prepare and send to the complainant a written report, as described in the section "Investigation Report" below. If the complainant is dissatisfied with the compliance officer's decision, the complainant may, within five business days, file the complaint in writing with the Board.

The Board may consider the matter at its next regular Board meeting or at a special Board meeting convened in order to meet the 60-day time limit within which the complaint must be answered. When required by law, the matter shall be considered in closed session. The Board may decide not to hear the complaint, in which case the compliance officer's decision shall be final.

If the Board hears the complaint, the compliance officer shall send the Board's decision to the complainant within 60 calendar days of the district's initial receipt of the complaint or within the time period that has been specified in a written agreement with the complainant. (5 CCR 4631)

For any complaint alleging unlawful discrimination harassment, intimidation, and bullying), the respondent shall be informed of any extension of the timeline agreed to by the complainant, and, in the same manner as the complainant, may file a complaint with the Board if dissatisfied with the decision.

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## **Investigation Report**

For all complaints, the District's final written decision shall include: (5 CCR 4631) The findings of fact based on the evidence gathered.

- 1. A conclusion providing a clear determination for each allegation as to whether the district is in compliance with the relevant law.
- 2. Corrective action(s) whenever the District finds merit in the complaint, including, when required by law, a remedy to all affected students and parents/guardians and, for a student fees complaint, a remedy that complies with Education Code 49013 and 5 CCR 4600
- 3. Notice of the complainant's right to appeal the District's investigation report to CDE, except when the District has used the UCP to address a complaint not specified in 5 CCR 4610
- 4. Procedures to be followed for initiating an appeal to CDE

The investigative report may also include follow-up procedures to prevent recurrence or retaliation and for reporting any subsequent problems.

In consultation with District legal counsel, information about the relevant part of an investigation report may be communicated to a victim who is not the complainant and to other parties who may be involved in implementing the investigation report or are affected by the complaint, as long as the privacy of the parties is protected. In a complaint alleging unlawful discrimination harassment, intimidation, and bullying, notice of the investigation report to the alleged victim shall include information about any sanction to be imposed upon the respondent that relates directly to the alleged victim.

If the complaint involves a limited-English-proficient student or parent/guardian then the District's response, if requested by the complainant, and the investigation report shall be written in English and the primary language in which the complaint was filed.

For complaints alleging unlawful discrimination, harassment, intimidation, and bullying based on state law, the investigation report shall also include a notice to the complainant that:

- 1. The complainant may pursue available civil law remedies outside the District's complaint procedures, including, but not limited to, injunctions, restraining orders, or other remedies or orders, 60 calendar days after the filing of an appeal with CDE. (Education Code 262.3)
- 2. The 60 days moratorium does not apply to complaints seeking injunctive relief in state courts or to discrimination complaints based on federal law. (Education Code 262.3)
- 3. Complaints alleging discrimination based on race, color, national origin, sex, gender, disability, or age may also be filed with the U.S. Department of Education, Office for Civil Rights at www.ed.gov/ocr within 180 days of the alleged discrimination.

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#### **Corrective Actions**

When a complaint is found to have merit, the compliance officer shall adopt any appropriate corrective action permitted by law. Appropriate corrective actions that focus on the larger school or District environment may include, but are not limited to, actions to reinforce District policies; training for faculty, staff, and students; updates to school policies; or school climate surveys.

For complaints involving retaliation or unlawful discrimination, harassment, intimidation, or bullying, appropriate remedies that may be offered to the victim but not communicated to the respondent may include, but are not limited to, the following:

- 1. Counseling
- 2. Academic support
- 3. Health services
- 4. Assignment of an escort to allow the victim to move safely about campus
- 5. Information regarding available resources and how to report similar incidents or retaliation
- 6. Separation of the victim from any other individuals involved, provided the separation does not penalize the victim
- 7. Restorative justice
- 8. Follow-up inquiries to ensure that the conduct has stopped and there has been no retaliation

For complaints involving retaliation or unlawful discrimination, harassment, intimidation, or bullying involving a student as the respondent, appropriate corrective actions that may be provided to the student include, but are not limited to, the following:

- 1. Transfer from a class or school as permitted by law
- 2. Parent/guardian conference
- 3. Education regarding the impact of the conduct on others
- 4. Positive behavior support
- 5. Referral to a student success team
- 6. Denial of participation in extracurricular or co-curricular activities or other privileges as permitted by law
- 7. Disciplinary action, such as suspension or expulsion, as permitted by law

When an employee is found to have committed retaliation or unlawful discrimination, harassment, intimidation, or bullying, the District shall take appropriate disciplinary action, up to and including dismissal, in accordance with applicable law and collective bargaining agreement.

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The District may also consider training and other interventions for the larger school community to ensure that students, staff, and parents/guardians understand the types of behavior that constitute unlawful discrimination, harassment, intimidation, or bullying, that the District does not tolerate it, and how to report and respond to it.

When a complaint is found to have merit, an appropriate remedy shall be provided to the complainant or other affected person.

However, if a complaint alleging noncompliance with the laws regarding student fees, deposits, and other charges, physical education instructional minutes, courses without educational content, or any requirement related to the LCAP is found to have merit, the District shall provide a remedy to all affected students and parents/guardians subject to procedures established by regulation of the State Board of Education. (Education Code 49013, 51222, 51223, 51228.3, 52075)

For complaints alleging noncompliance with the law regarding student fees, the District, by engaging in reasonable efforts, shall attempt in good faith to identify and fully reimburse all affected students and parents/guardians who paid the unlawful student fees within one year prior to the filing of the complaint. (Education Code 49013; 5 CCR 4600)

# Appeals to the California Department of Education

Any complainant who is dissatisfied with the District's investigation report on a complaint regarding any specified federal or state educational program subject to UCP may file an appeal in writing with CDE within 30 calendar days of receiving the District's decision. (5 CCR 4632)

The appeal shall be sent to CDE with a copy of the original locally filed complaint and a copy of the District's investigation report for that complaint. The complainant Shall specify and explain the basis for the appeal, including at least one of the following: (5 CCR 4632)

- 1. The District failed to follow its complaint procedures.
- 2. Relative to the allegations of the complaint, the district's investigation report lacks material findings of fact necessary to reach a conclusion of law.
- 3. The material findings of fact in the district's investigation report are not supported by substantial evidence.
- 4. The legal conclusion in the district's investigation report is inconsistent with the law.
- 5. In a case in which the district found noncompliance, the corrective actions fail to provide a proper remedy.

#### **COMMUNITY RELATIONS**

REGULATION AR 1312.3

Upon notification by CDE that the District's investigation report has been appealed, the Superintendent or designee shall forward the following documents to CDE within 10 days of the date of notification: (5 CCR 4633)

- 1. A copy of the original complaint
- 2. A copy of the District's investigation report
- 3. A copy of the investigation file including, but not limited to, all notes, interviews, and documents submitted by the parties and gathered by the investigator
- 4. A report of any action taken to resolve the complaint
- 5. A copy of the District's UCP
- 6. Other relevant information requested by CDE

If notified by CDE that the District's investigation report failed to address allegation(s) raised by the complaint, the District shall, within 20 days of the notification, provide CDE and the appellant with an amended investigation report that addresses the allegation(s) that were not addressed in the original investigation report. The amended report shall also inform the appellant of the right to separately appeal the amended report with respect to the allegation(s) that were not addressed in the original report. (5 CCR 4632)

# Health and Safety Complaints in License-Exempt Preschool Programs

Any complaint regarding health or safety issues in a license-exempt California State Preschool Program (CSPP) shall be addressed through the procedures described in 5 CCR 4690-4694.

In order to identify appropriate subjects of CSPP health and safety issues pursuant to Health and Safety Code 1596.7925, a notice shall be posted in each license-exempt CSPP classroom in the district notifying parents/guardians, students, and teachers of the health and safety requirements of Title 5 regulations that apply to CSPP programs pursuant to Health and Safety Code 1596.7925 and the location at which to obtain a form to file any complaint alleging noncompliance with those requirements. For this purpose, the Superintendent or designee may download and post a notice available from the CDE web site. (Education Code 8212; 5 CCR 4691)

The district's annual UCP notification distributed pursuant to 5 CCR 4622 shall clearly indicate which of its CSPP programs are operating as exempt from licensing and which CSPP programs are operating pursuant to requirements under Title 22 of the Code of Regulations. (5 CCR 4691)

Any complaint regarding specified health or safety issues in a license-exempt CSPP program shall be filed with the preschool program administrator or designee, and may be filed anonymously. The complaint form shall specify the location for filing the complaint, contain a space to indicate whether the complainant desires a response to the complaint, and allow a complainant to add as much text as desired to explain the complaint. (Education Code 8212; 5 CCR 4690)

#### **COMMUNITY RELATIONS**

REGULATION AR 1312.3

If it is determined that the complaint is beyond the authority of the preschool program administrator, the matter shall be forwarded to the Superintendent or designee in a timely manner, not to exceed 10 working days, for resolution. The preschool administrator or the Superintendent or designee shall make all reasonable efforts to investigate any complaint within their authority. (Education Code 8212; 5 CCR 4692)

Investigation of a complaint regarding health or safety issues in a license-exempt CSPP program shall begin within 10 days of receipt of the complaint. (Education Code 8212; 5 CCR 4692)

The preschool administrator or designee shall remedy a valid complaint within a reasonable time period not to exceed 30 working days from the date the complaint was received. If the complainant has indicated on the complaint form a desire to receive a response to the complaint, the preschool administrator or Superintendent's designee shall, within 45 working days of the initial filing of the complaint, report the resolution of the complaint to the complainant and CDE's assigned field consultant. If the preschool administrator makes this report, the information shall be reported at the same time to the Superintendent or designee. (Education Code 8212; 5 CCR 4692)

If a complaint regarding health or safety issues in a license-exempt CSPP program involves an LEP student or parent/guardian, then the district's response, if requested by the complainant, and the investigation report shall be written in English and the primary language in which the complaint was filed.

If a complainant is not satisfied with the resolution of a complaint, the complainant has the right to describe the complaint to the Board at a regularly scheduled hearing and, within 30 days of the date of the written report, may file a written appeal of the district's decision to the Superintendent of Public Instruction in accordance with 5 CCR 4632. (Education Code 8212; 5 CCR 4693, 4694)

All complaints and responses are public records. (5 CCR 4690)

On a quarterly basis, the Superintendent or designee shall report summarized data on the nature and resolution of all CSPP health and safety complaints, including the number of complaints by general subject area with the number of resolved and unresolved complaints, to the Board at a regularly scheduled Board meeting and to the County Superintendent. (5 CCR 4693)

State	Description
2 CCR 11023	Harassment and discrimination prevention and correction
5 CCR 15580-15584	Child nutrition programs complaint procedures
5 CCR 3200-3205	Special education compliance complaints
5 CCR 4600-4670	Uniform complaint procedures

# **COMMUNITY RELATIONS**

# REGULATION AR 1312.3

State	Description
5 CCR 4680-4687	Williams uniform complaint procedures
5 CCR 4690-4694	Complaints regarding health and safety issues in license-exempt preschool programs
5 CCR 4900-4965	Nondiscrimination in elementary and secondary education programs
Ed. Code 200-262.4	Educational equity; prohibition of discrimination on the basis of sex
Ed. Code 18100-18203	School libraries
Ed. Code 32221.5	Insurance for athletic team members
Ed. Code 32280-32289	School safety plans
Ed. Code 35186	Williams uniform complaint procedures
Ed. Code 46015	Parental leave for students
Ed. Code 48853-48853.5	Foster youth
Ed. Code 48985	Notices in language other than English
Ed. Code 49010-49014	Student fees
Ed. code 49060-49079	Student records
Ed. Code 49069.5	Records of foster youth
Ed. Code 49490-49590	Child nutrition programs
Ed. Code 49701	Interstate Compact on Educational Opportunity for Military Children
Ed. Code 51210	Courses of study grades 1-6
Ed. Code 51222	Physical education
Ed. Code 51223	Physical education, elementary schools
Ed. Code 51225.1-51225.2	Foster youth, homeless children, former juvenile court school students; course credits; graduation requirements
Ed. Code 51226-51226.1	Career technical education
Ed. Code 51228.1-51228.3	Course periods without educational content
Ed. Code 52059.5	Statewide system of support
Ed. Code 52060-52077	Local control and accountability plan

# **COMMUNITY RELATIONS**

# REGULATION AR 1312.3

State	Description
Ed. Code 52075	Complaint for lack of compliance with local control and accountability plan requirements
Ed. Code 52300-52462	Career technical education
Ed. Code 52500-52616.24	Adult schools
Ed. Code 54400-54425	Compensatory education programs
Ed. Code 54440-54445	Migrant education
Ed. Code 54460-54529	Compensatory education programs
Ed. Code 59000-59300	Special schools and centers
Ed. Code 64000-64001	Consolidated application process; school plan for student achievement
Ed. Code 65000-65001	School site councils
Ed. Code 8200-8498	Child care and development programs
Ed. Code 8500-8538	Adult basic education
Gov. Code 11135	Nondiscrimination in programs or activities funded by state
Gov. Code 11135	Discrimination
Gov. Code 12900-12996	Fair Employment and Housing Act
H&S Code 1596.792	California Child Day Care Act; general provisions and definitions
H&S Code 1596.7925	California Child Day Care Act; health and safety regulations
Pen. Code 422.55	Definition of hate crime
Pen. Code 422.6	Civil rights; crimes

Adopted 01/26/2004 Last Revised 07/25/2022 Prior Revised Dates 07/31/2007 05/12/2008 08/27/2012 02/11/2013 06/09/2014 08/24/2015

COMMUNITY RELATIONS	REGULATION AR 1312.3
	05/23/2016
	08/22/2016
	10/10/2016
	11/14/2016
	06/05/2017
	08/28/2017
	01/11/2018
	06/10/2019
	07/29/2019
	06/08/2020
	06/22/2020
	07/26/2021
	02/14/2022



# Tustin Unified School District UNIFORM COMPLAINT FORM

APPENDIX A 1312.3

DATE: _			
Name(s)			
Address			Zip Code
Telephone (H	Home)	Email_	
School:			
TYPE OF U	NIFORM COMPLAINT (Please c	heck below):	
1.	A violation of federal or state law	or regulation gov	erning the following program(s):
☐ Adult ☐ After S ☐ Career ☐ Child ☐ Comp ☐ Conso	Education (Ed. Code 8500-8538, 5.25). School Education and Safety (Ed. Cor Technical Education (Ed. Code 522). Care and Development (Ed. Code 82). Care and Development (Ed. Code 82). Code 5440. School Plates (Ed. Code 5440). School Plates (Ed. Code 84853, 42). Code Sections 48853, 43. Control and Accountability Plan (Ed. Code 54440-5444. Ty Family Students Education (Ed. Code 54440-5444. Ty Family Students Education (Ed. Code 32280 – 326). Safety Plans (Ed. Code 32280 – 326). Site Council (Ed. Code 8207 – 8225). Int Fees (Ed. Code 49010 – 49013).	2334.7, 52500-5265 lode 8482-8484.65) 2300 – 52462) 200-8488) 0) an for Student Achie ent (Ed. Code 51225 Code 52059.5; 20 U 88853.5,49069.5, 51 225.1 and 51225.2) d. Code 52075) H5) Code Section 49701 (Ed. Code 51210, 5 ams (Ed. Code 5230	evement (Ed. Code 33315, 64001; 35  8.1 – 51228.3)  USC 6301 et seq.)  (225.1, 51225.2)
2.	-	_	ssment), intimidation, or bullying
agains	st any protected group based on the Race or ethnicity Color Ancestry Nationality National origin Ethnic group identification		or perceived characteristics of:  Marital or parental status  Medical condition  Physical or mental disability  Sex  Sexual orientation  Gender

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<ul> <li>□ Please check if this is a complaint concerning sexual harassment.</li> <li>□ Please check if this is a complaint concerning bullying.</li> <li>3 Education and graduation rights of students in foster care, homeless students, students</li> </ul>
from military families, students formerly in a juvenile court school, migrant students and immigrant students participating in a newcomer program. (Ed. Code 48645.7, 48853, 48853.5,
<ul> <li>49069.5, 51225.1, 51225.2)</li> <li>4. Retaliation against a complainant or other participant in the complaint process</li> </ul>
NATURE OF COMPLAINT. (This should be a description in your own words of the grounds of your complaint, including names of individuals involved, dates, events, locations, and witnesses necessary for a complete understanding of your complaint. Attach additional sheets, if necessary):
What steps, if any, have you taken to <b>resolve</b> this issue before filing a complaint?

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If so, with whom have you spoken?	Date:
	Butc.
What was the <b>result</b> of the discussion?	
What <b>solution or remedy</b> are you seeking?	
<del>-</del>	
<del>-</del>	·····
I understand that the District may request further inform	ation about this matter, and if such information is
available, I agree to present it upon request. I also unde to the employee or supervisor against whom the complain District prohibits retaliation or harassment against any icomplaint.	rstand that a copy of this complaint may be given int is made (if applicable). I acknowledge that the individual or the child of a parent who submits a
available, I agree to present it upon request. I also unde to the employee or supervisor against whom the complain District prohibits retaliation or harassment against any icomplaint.	rstand that a copy of this complaint may be given nt is made (if applicable). I acknowledge that the
available, I agree to present it upon request. I also under to the employee or supervisor against whom the complain District prohibits retaliation or harassment against any is complaint.  Signature of Person Filing Complaint For Uniform Complaints regarding student-to-student	rstand that a copy of this complaint may be given int is made (if applicable). I acknowledge that the individual or the child of a parent who submits a Date
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available, I agree to present it upon request. I also unde to the employee or supervisor against whom the complain District prohibits retaliation or harassment against any is complaint.  Signature of Person Filing Complaint  For Uniform Complaints regarding student-to-student form to the School Principal.  For all other Uniform Complaints, please return this for Director, Education of the School Principal.	rstand that a copy of this complaint may be given int is made (if applicable). I acknowledge that the individual or the child of a parent who submits a Date  Sexual harassment or bullying, please return the orm to:  onal Services
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Tustin Unified So 300 South C	rstand that a copy of this complaint may be given int is made (if applicable). I acknowledge that the individual or the child of a parent who submits a Date  Date  sexual harassment or bullying, please return the orm to:  onal Services chool District C Street 92780

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